



32692

Customer Number

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Case No.: 58983US002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: MAGARILL, SIMON

Application No.: 10/701201

Group Art Unit: 2875

Filed: November 4, 2003

Examiner: James W. Cranson, Jr.

Title: SIDE REFLECTOR FOR ILLUMINATION USING LIGHT EMITTING DIODE

INFORMATION DISCLOSURE STATEMENTMail Stop: RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]

I hereby certify that this correspondence is being:

- ☒ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
- ☐ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at 571-273-8300.

Oct 16, 2005

Date

Signed by:

Shannon M. Bruce

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

A Request for Continued Examination under 37 CFR § 1.114 is being filed concurrently, thus it is believed that no fee is due for this Information Disclosure Statement.

Respectfully submitted,

By:

George W. Joras, Reg. No. 46,811
Telephone No.: 651-736-6933

Date

10/6/05

Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Use as many sheets as necessary)

Page 1 of 5

U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	A3	US- 4,915,489	04/10/1990	Minko	
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*Examiner:

Date Considered:

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Substitute for form 1449/PTO (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Page 2 of 5	Application Number	10/701201
	Filing Date	November 4, 2003
	First Named Inventor	Magarill, Simon
	Art Unit	2875
	Examiner Name	James W. Cranson, Jr.
	Attorney Case Number	58983US002

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Substitute for form 1449A/PTO (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Page 3 of 5	Application Number	10/701201
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Foreign Patent Documents							
Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (If known)				
	B1	EP	0 083 527	07/13/1983			
	B2	EP	0 322 070	06/28/1989			
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	B11	JP	10-123512	05/15/1998			Abstract
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	B18	WO	2003/56876	07/10/2003			
	B19	WO	2004/107751	12/09/2004			

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Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1	JACOBSON, et al., "Novel Compact Non-Imaging Collectors for LED Arrays", Illumitech, Inc., bjacobson@illumitech.com	
	C2	Secondary Optics Design Considerations for SuperFlux LEDs, application brief AB20-5, Appendix 5A, pages 5-22	
	C3	STEVE PAOLINI, GERARD HARBERS, MATTHIJS KEUPER, Lumileds, Light from Silicon Valley, High-Power LED Illuminators in Projection Displays, pages 1-19	
	C4	GERARD HARBERS, WIM TIMMERS, WILLEM SILLEVIS-SMITT, LED Backlighting for LCD HDTV, Journal of the SID, 10/4, 2002, pages 347-350	

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	C5	LumiBright Light Engine, Innovations in Optics, Inc, Woburn, Massachusetts	
	C6	Laikin, Milton, "Lens Design-Third Edition, Revised and Expanded", Table of Contents, pp. 305-312, Marcel Dekker, New York, 2001.	
	C7	Melles Griot: "Specifying Laser Diode Optics" Online! 2000, 2002 XP002323875, Section "Focusing Lenses For Fiber Optics" Lines 19-23, Figures 3, 4	

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